Regd. Office: "Sunflower House", 5th Floor, 80 feet Road, Nr. Bhaktinagar Circle Rajkot-360 002. (Gujarat) India. Phone: + 91-281-2361935, 2373815
Tale Fax: + 91-281-2361528 E-mail: info@sunflowerbroking.com

http://www.sunflowerbroking.com

Date

SEBI Reg. No. : BSE (CASH) INB 011358638 CLG No. 3288

NSE (CASH) INB 231358632,

NSE (F&O) INF 231358632(CDX) INE 231358632.

TM ID No.: 13586, CM ID NO. M51716

Annexure for Off Market Transfer

Required compulsory additional information for off market transfer as per CDSL circular / communiqué no. <u>CDSL CDSL/OPS/DP/SYSTM/2019/352</u> dated <u>15th July, 2019.</u>

*(ONLY for transfers from BO (Investor) Account to another BO (Investor) account, NOT RELATED to Stock Exchange Transactions) Sr. No. (DIS No.) DP ID 1 2 0 6 4 6 0 0 Client ID Name of the Sole / First Holder **Counter DP ID Counter DP Name Counter Client ID Counter Client Name**

Ex. Date

REASON	CODE	If Applicable please mark	REASON	CODE	If Applicable please mark
Gift	1	prease mark	For Off-Market Sale / Purchase*	2	picase mark
Transfer to own account(s)	5		Implementation of Govt / Regulatory Direction Orders	10	
Erroneous Transfer Pertaining to Client Securities	11		Meeting Legitimate Dues of Stock Broker		
For Open Offer Acquisition	13		Margin to stock broker/ PCM	14	
Refund of securities by IEPF Authority	15		Donation	16	
For Buy-Back	17		Margin returned by stock broker/ PCM	18	
ESOP/Transfer to employee	19		Payout - On payments for unpaid securities	20	
TRANSFER TO PMS ACCOUNT	21		TRANSFER FROM PMS ACCOUNT	22	
ON MARKET IDT TRANSFER	23		REDEMPTION OF MUTUAL FUND UNITS	24	
CONVERSION OF DEPOSITORY RECEIPT (DR) TO UNDERLYING SECURITIES AND VICE VERSA	25		TRANSPOSITION	26	
MERGER / DEMERGER OF CORPORATE ENTITY	27		DISSOLUTION/ RESTRUCTURING / WINDING UP OF PARTNERSHIP FIRM / TRUST	28	
TRUST TO BENEFICIARIES / ON HUF DISSOLUTION TO KARTA & COPARCENERS	29		TRANSFER BETWEEN MINOR ACCOUNT AND GUARDIAN ACCOUNT	30	
TRANSFER BETWEEN SPECIFIED FAMILY MEMBERS	31		TRANSFER BETWEEN PARTNER AND FIRM OR DIRECTOR AND COMPANY	32	
Specify Relation - 1. SPOUSE, 2. FATHER (INCLUDING STEP-FATHER), 3. MOTHER (INCLUDING STEP-MOTHER), 4. SON (INCLUDING STEP-SON) 5. SON'S WIFE, 6.	Specify Relation Mention -		COMMERCIAL PAPER ISSUANCE	33	
DAUGHTER, 7. DAUGHTER'S HUSBAND, 8. BROTHER (INCLUDING STEP-BROTHER), 9. SISTER (INCLUDING STEP-SISTER) 10. MEMBERS OF SAME HUF			CERTIFICATE OF DEPOSIT REDEMPTION	34	
Specific Transfer to/from Escrow account	42				

*Required below compulsory additional information for off market transfer for reason "OFF MARKET SALE/PURCHASE or reason like sold, sale, sell, transfer for cash/money or other similar reason" as per CDSL circular/communiqué No. CDSL/OPS/SYSTM/2018/465 dated on September 04, 2018

Payment Mode	Banl	nk Account Number			
Bank Name	Bran	nch Name			
Transferee Name	Date	te of Issue/Transfer			
Cheque/ Reference Number	Othe	ners(If Any)			

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			



Regd. Office: "Sunflower House", 5th Floor, 80 feet Road, Nr. Bhaktinagar Circle Rajkot-360 002. (Gujarat) India. Phone: + 91- 281- 2361935, 2373815
Tale Fax: + 91- 281- 2361528 E-mail: info@sunflowerbroking.com

http://www.sunflowerbroking.com

SEBI Reg. No.: BSE (CASH) INB 011358638 CLG No. 3288

NSE (CASH) INB 231358632,

NSE (F&O) INF 231358632(CDX) INE 231358632.

TM ID No.: 13586, CM ID NO. M51716